



# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/895,786	06/30/2001	Emir Gurer	8003-387



02/06/01  
JAN 22 2002  
FOLSON SONSINI GOODRICH & ROSATI  
650 PAGE MILL ROAD  
PALO ALTO, CA 943041050

**CONFIRMATION NO. 7288**  
**FORMALITIES LETTER**  
  
 \*OC00000006386604\*

Date Mailed: 08/06/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

### FILED UNDER 37 CFR 1.53(b)

#### *Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1852.    01/30/2002 FBERHE 00000111 232415 09895786
 

■ \$1692 for 94 total claims over 20.	01 FC:101	740.00 CH
■ \$160 for 2 independent claims over 3 .	02 FC:105	130.00 CH
	03 FC:103	1692.00 CH
	04 FC:102	168.00 CH
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 2692.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawings contain excessive text. Suitable descriptive legends may be used, or may be required by the Examiner where necessary for understanding of the drawing but should contain as few words as possible. ( see 37 CFR 1.84(o));

*A copy of this notice **MUST** be returned with the reply.*

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#### **Customer Service Center**

Initial Patent Examination Division (703) 308-1202

**PART 2 - COPY TO BE RETURNED WITH RESPONSE**



01-28-02

M.M

Sector

Attorney Docket No. 8003-387

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of ) PATENT APPLICATION  
Emir Gurer, et al. )  
Application No.: 09/895,786 ) Group Art Unit: 1762  
Filed: June 30, 2001 )  
Title: Method of Uniformly Coating a ) Examiner: Not Yet Assigned  
Substrate )

Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO MISSING PARTS OF APPLICATION

Dear Sir:

This is in response to the Notice of Missing Parts of Application mailed August 6, 2001. Enclosed herewith is a Transmittal Form, Completion of Filing Requirements, Fee Transmittal, Declaration, Assignment and Recordation Form Cover Sheet, Power of Attorney by Assignee, original Filing Receipt with marked changes, and copy of Notice to File Missing Parts of Application.

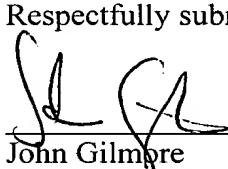
We understand from the assignee that one co-inventor, Mr. Robert Mandal, is reluctant. Mr. Mandal is a former employee of the assignee, and evidence of Mr. Mandal's reluctance will be provided as soon as it is available.

The Commissioner is authorized to charge any additional fees which may be required, including petition fees, or credit any overpayment to Deposit Account No. 23-2415 (Docket No. 8003-387).

Respectfully submitted,

Date: 1/22/02

By:

  
John Gilmore  
Registration No. 46,375

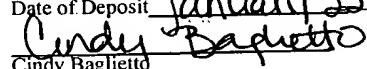
WILSON SONSINI GOODRICH & ROSATI  
650 Page Mill Road  
Palo Alto, CA 94304-1505  
(650) 493-9300  
Customer No. 021971

Attorney Docket No.: 8003-387  
C:\NrPortbl\PALIB\UG7\2093035\_1.DOC

## CERTIFICATE OF MAILING BY EXPRESS MAILING

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service as Express Mail (Label No. E1778599714US) in an enveloped addressed to: Commissioner for Patents, Washington, D.C. 20231

Date of Deposit January 22, 2002

  
Cindy Baglietto

~~Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.~~**O FEE TRANSMITTAL**

JAN 22 2002 for FY 2001

Patent fees are subject to annual revision.

~~Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.~~**TOTAL AMOUNT OF PAYMENT** (\$ 4,235.00)**Complete if Known**

Application Number	09/895,786
Filing Date	June 30, 2001
First Named Inventor	Emir Gurer
Examiner Name	Not Yet Assigned
Group/Art Unit	1762
Attorney Docket Number	8003-387

**METHOD OF PAYMENT** (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number

23-2415 (Docket No. 8003-387)

Deposit Account Name

Wilson Sonsini Goodrich &amp; Rosati

 Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

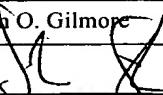
2.  Payment Enclosed:

 Check     Money Order     Other**FEE CALCULATION** (continued)**ADDITIONAL FEES**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	1,440.00
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify)		25		Request for Corrected Filing Receipt	25.00
Other fee (specify)		55/110		Terminal Disclaimer	

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$ 1,635.00)**SUBMITTED BY** Complete (if applicable)

Name (Print/Type)	John O. Gilmore	Registration No. (Attorney/Agent)	46,375	Telephone	650-493-9300
Signature		Date	1/21/02	Customer No.	021971

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box →

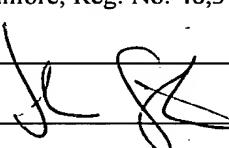
PTO/SB/21 (6-99)

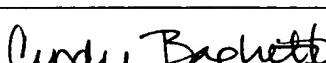
Approved for use through 09/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>		<b>Application Number</b>	09/895,786
		<b>Filing Date</b>	June 30, 2001
		<b>First Named Inventor</b>	Emir Gurer
		<b>Group/Art Unit</b>	1762
		<b>Examiner Name</b>	Not Yet Assigned
Total Number of Pages in This Submission		<b>Attorney Docket Number</b>	8003-387

<b>ENCLOSURES (check all that apply)</b>			
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (9 pages) <i>(for an Application)</i> <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney by Assignee <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i> <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) <i>(please identify below):</i> Declaration (9 pgs.) Notice to File Missing Parts of Application (1 pg.) Copy of Original Filing Receipt with marked changes (1 pg.)	

<b>SIGNATURE OF APPLICANT, ATTORNEY OR AGENT</b>	
Firm or Individual name	John Gilmore, Reg. No. 46,375, WILSON SONSINI GOODRICH & ROSATI
Signature	
Date	1/21/02

<b>CERTIFICATE OF MAILING</b>	
I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail (Express Mail Certificate No. EL778599714US) in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date:	
Typed or printed name	Cindy Baglietto
Signature	
Date	1/21/2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

Practitioner's Docket No. 8003-387

JAN 22 2002

THE UNITED STATES PATENT AND TRADEMARK OFFICE

H 24  
PATENT

In re application of: Emre Gurer, et al.

Serial No.: 09/394,186

Filed: June 30, 2001

For: Method of Uniformly Coating a Substrate

Group No.: 1762

Examiner: Not Yet Assigned

Commissioner for Patents  
Washington, D.C. 20231

**COMPLETION OF FILING REQUIREMENTS  
—NONPROVISIONAL APPLICATION**

I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed August 6, 2001. A copy of the Notice to File Missing Parts of Application—Filing Date Granted (Form PTO-1533) is enclosed.

**DECLARATION OR OATH AND ASSIGNMENT**

II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application. Also enclosed is an Assignment for this application.

**POWER OF ATTORNEY**

III. Enclosed is an original Power of Attorney by Assignee to Exclusion of Inventor.

**COMPLETION FEES**

1. Filing Fee: Original patent application (37 C.F.R. 1.16(a))	\$740.00
2. Fee for Claims: Each claim in excess of 20 (37 C.F.R. 1.16(c))	\$1,692.00
3. Fee for Claims: Each independent claim in excess of 3 (37 C.F.R. 1.16(b))	\$168.00
4. Surcharge Fee: Late payment of filing fee (37 C.F.R. 1.16(e))	\$130.00
5. Assignment (See "ASSIGNMENT COVER SHEET".)	\$40.00
Total completion fees	\$2,770.00

**EXTENSION OF TIME**

IV. Applicant respectfully requests a Four Month Extensions of Time in order to respond to the Notice to File Missing Parts of Nonprovisional Application. Fee Due: \$ 1,440.00

**REQUEST FOR CORRECTED FILING RECEIPT**

V. Attached is a copy of the official filing receipt for which issuance of a corrected filing receipt is respectfully requested. There are errors with respect to the following, which are incorrectly entered.

Error in

Correct data

1. Applicant name and/or addresses - Emir Gurer, Scotts Valley, CA; Tom Zhong, Sunnyvale, CA; John Lewellen, San Jose, CA; Edward C. Lee, Cupertino, CA; Robert P. Mandal, Saratoga, CA; James C. Grambow, San Jose, CA; Ted C. Bettes, Cincinnati, Ohio; Donald R. Sauer, San Jose, CA; Edmond R. Ward, Monte Sereno, CA.

At least one of the above corrections is due to applicant's error and the fee under 37 C.F.R. 1.19(h), of \$25.00 applies.

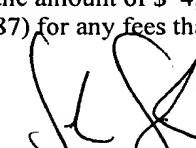
**TOTAL FEE DUE**

VI. The total fee due is: Completion fees \$2,770.00, Corrected Filing Receipt Fees \$25.00, Extension of Time Fee \$1,440.00

**TOTAL FEE DUE \$ 4,235.00**

**PAYMENT OF FEES**

VII. Charge Account No. 23-2415 (8003-387) in the amount of \$ 4,235.00.  
Please charge Account No. 23-2415 (8003-387) for any fees that may be due by this paper.

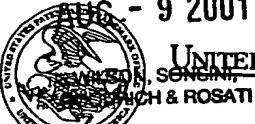
  
John Gilmore, Reg. No. 46,375

Wilson Sonsini Goodrich & Rosati  
650 Page Mill Road  
Palo Alto, CA 94304  
(650) 493-9300  
Customer No. 021971

01/30/2002 MBERHE 00000112 232415 09355766  
01 FC:118 1440.00 CH

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SVC  
Page 1 of 3  
8003-387  
JUG

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/895,786	06/30/2001	1762	0.00	8003-387	13	114	5

JAN 22 2002

321971  
WILSON, SANSINI GOODRICH & ROSATI  
650 PAGE MILL ROAD  
PALO ALTO, CA 943041050

DOCKETED

CONFIRMATION NO. 7288

FILING RECEIPT



\*OC000000006386603\*

Date Mailed: 08/06/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Emir Gurer, Residence Not Provided; Scotts Valley, CA;  
Tom Zhong, Residence Not Provided; Sunnyvale, CA;  
John Lewellen, Residence Not Provided; San Jose, CA;  
Eddie Lee, Residence Not Provided; Edward C. Lee, Cupertino, CA,  
Robert P. Mandal, Residence Not Provided; Saratoga, CA;  
James C. Grambow, Residence NOT PROVIDED; San Jose, CA.  
~~Edward C. Lee~~  
~~Ted C. Bettis~~, Residence Not Provided; Ted C. Bettis, Cincinnati, Ohio,  
Donald R. Sauer, Residence Not Provided; San Jose, CA;  
Edmond R. Ward, Residence Not Provided; Monte Sereno, CA.

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/795,924 02/28/2001  
WHICH IS A CIP OF 09/745,611 12/20/2000  
WHICH CLAIMS BENEFIT OF 60/185,818 02/29/2000  
THIS APPLICATION 09/895,786  
IS A CIP OF 09/391,964 09/08/1999 PAT 6,238,735  
WHICH IS A CON OF 08/876,273 06/16/1997 PAT 5,954,878  
WHICH IS A DIV OF 08/566,227 12/01/1995 PAT 5,670,210  
WHICH IS A CIP OF 08/330,045 10/27/1994 ABN

Foreign Applications

If Required, Foreign Filing License Granted 08/03/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

**Early Publication Request: No**

**Title**

Method of uniformly coating a substrate

**Preliminary Class**

427

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**Data entry by : ASGEDOM, GENET**

**Team : OIPE**

**Date: 08/06/2001**



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "Improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231